

# Solid-state $^{13}\text{C}$ nuclear magnetic resonance spectra of the $\alpha$ and $\beta$ conformers of *trans*-1,4-polyisoprene

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Solid-state  $^{13}\text{C}$  nuclear magnetic resonance (n.m.r.) was used to study the two different conformers of *trans* natural rubber (NR). The  $\alpha$  and  $\beta$  conformers of *trans* NR were observed to give different  $^{13}\text{C}$  chemical shifts. The relative magnitude and direction of the chemical shift for the methyl carbon on the  $\beta$  conformer are predicted by a steric perturbation model. The  $\alpha$  conformer gave longer relaxation times than the  $\beta$  conformer. For this highly mobile semicrystalline polymer, spin diffusion was not a major contributor to the relaxation process.

(Keywords: n.m.r.; 1,4-polyisoprene; conformers)

## INTRODUCTION

Gutta percha, *trans*-1,4-polyisoprene (TPI), can adopt at least two different crystal modifications, orthorhombic  $\beta$  (ref. 1) and monoclinic  $\alpha$  (ref. 2). The  $\alpha$  conformer is produced naturally and is the thermodynamically stable form of TPI. Upon heating the  $\alpha$  phase to above 65°C and then rapidly quenching the amorphous rubber, the  $\beta$  phase crystallizes. The transformation of TPI between the two crystal forms has been studied extensively<sup>3-7</sup>. Recently the Fourier transform infra-red (FTi.r.) spectra and the normal-coordinate analysis of the two polymorphic forms of TPI were obtained<sup>8</sup>. The spectra of the amorphous phases of the two conformers were found to be identical. The spectra of the crystalline phases were quite different.

We became interested in the *trans* form of natural rubber (NR), because of our previous solid-state  $^{13}\text{C}$  nuclear magnetic resonance (n.m.r.) studies on the structure changes produced by peroxide cure<sup>9</sup> of *cis* natural rubber. In that study, it was found that *cis*-*trans* rearrangement occurred in addition to crosslinking. It has been shown that solid-state  $^{13}\text{C}$  n.m.r. is very sensitive in detecting changes in the carbon spectra due to differences in conformation and crystal packing<sup>10-12</sup>. In this study we will use the techniques of high-resolution solid-state  $^{13}\text{C}$  n.m.r.<sup>13</sup> to characterize the  $\alpha$  and  $\beta$  conformers of TPI.

## EXPERIMENTAL

### Sample preparation

The *trans*-1,4-polyisoprene (TPI) has the classification of gutta percha. The  $\beta$  conformer was prepared by heating the TPI to 80°C in a platten press to get an amorphous material. The sample was removed from the press and quenched in an ice/water bath. The  $\alpha$  conformer was prepared by dissolving the TPI in  $\text{CS}_2$  and letting the

solvent evaporate at room temperature. Both samples were annealed at 55°C in order to increase their crystallinity and crystal perfection. The two polymorphic forms ( $\alpha$  and  $\beta$ ) were verified by FTi.r. and X-ray diffraction.

### Fourier transform $^{13}\text{C}$ nuclear magnetic resonance

The  $^{13}\text{C}$  solid-state spectra were acquired on a Nicolet Technology NT-150 spectrometer operating at 38 MHz and equipped with a cross-polarization accessory. Radiofrequency amplifiers were adjusted to satisfy the Hartmann-Hahn<sup>14</sup> condition between 62 and 67 kHz. The high-power proton decoupling fields were the same strength as the spin-lock fields. Spectra obtained by the cross-polarization<sup>15</sup>, magic-angle sample spinning<sup>16</sup>, and dipolar decoupling<sup>17</sup> (CP/MAS/DD) techniques used a 1 ms contact time and a 2 s delay between pulses. The solid samples were packed into hollow Beams-Andrews rotors<sup>16,18</sup> machined out of polyoxymethylene or polychlorotrifluoroethylene or a hybrid spinner consisting of both materials. The 'magic angle' was set by maximizing the intensity of the carbonyl resonance of glycine. All free induction decays (FID) were zero-filled to 8000 data points. A normal Bloch decay experiment (MAS/SD) with 12 W decoupling power and a Bloch decay experiment using high-power proton decoupling (MAS/DD) were used to probe the amorphous (highly mobile) phases. The relaxation measurements ( $T_{1\text{C}}$ ,  $T_{1\text{H}}$  and  $T_{1\rho}^{\text{H}}$ ) were obtained by the pulse sequences used by Schaefer and Sullivan<sup>11,20,21</sup>. The delay between pulses in  $T_{1\text{C}}$ ,  $T_{1\text{H}}$  and  $T_{1\rho}^{\text{H}}$  was 20, 5 and 2 s respectively. The chemical shifts are reported relative to tetramethylsilane (TMS).

## RESULTS

### Chemical shifts

The spectra of *cis* and *trans* NR, obtained by MAS/SD, are shown in *Figure 1*. Narrow resonances are observed

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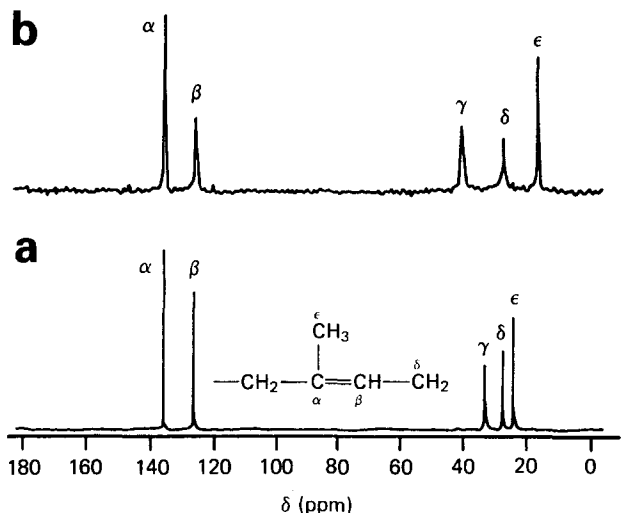


Figure 1 Spectra of (a) cis- and (b) trans-polyisoprene obtained by one pulse experiment

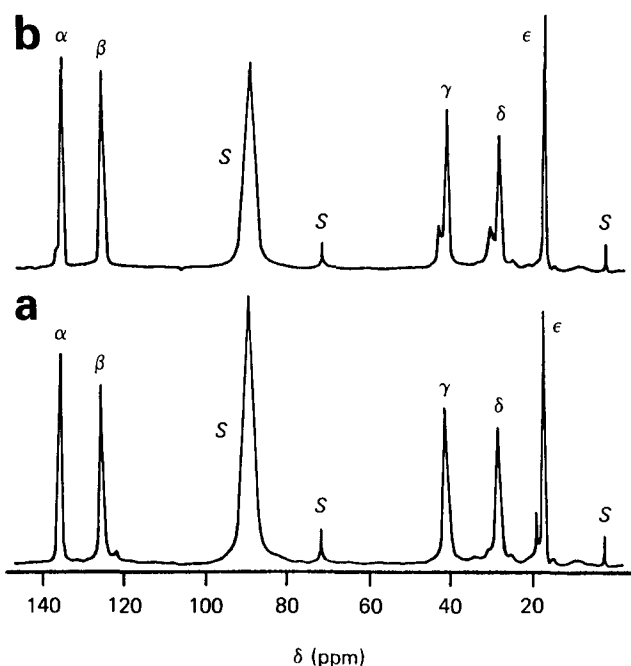


Figure 2 Spectra of trans natural rubber obtained by MAS/DD pulse sequence: (a)  $\alpha$  conformer, (b)  $\beta$  conformer

for these elastomeric solids because of rapid motion ( $\tau \approx 10^{-10}$  s) which averages nearly all the dipolar and chemical shift interactions<sup>19</sup>. It has been suggested that there exists a second quite slow motion in the case of polybutadiene<sup>19</sup>. Presumably similar effects occur for this NR system. The observed structure features are identical to those found by Duch and Grant<sup>22</sup>. They are the olefinic quaternary carbon, the methine carbon, the methylene carbon adjacent to the quaternary carbon, the other methylene attached to the methine carbon, and the methyl carbon. The observed widths of the resonances in the trans spectrum are broader than those found in the cis isomer. This is due to the presence of crystalline material. The spectra of the two crystalline conformers of TPI obtained by MAS/DD are shown in Figure 2. The centre resonances at 90 and 72 ppm are due to the rotor impurities. Spectrum (a) of the  $\alpha$  phase shows six major resonances at 135.7, 125.7, 41.2, 28.0, 18.7 and 17.3 ppm

and a weak signal at 122.0 ppm. Spectrum (b) of the  $\beta$  phase shows five major resonances at 135.7, 125.8, 41.3, 28.2 and 17.3 ppm, relative to TMS. In addition two weak resonances, one at 137 ppm and the other at 16.8 ppm, are observed. Because the MAS/DD experiment probes the highly mobile carbons, these weak resonances are assigned to carbons in the more rigid crystalline phase.

The conformation and the crystallographic data for the  $\alpha$  and  $\beta$  conformers are listed in Table 1:  $N$  is the number of chains per unit cell;  $C=cis$  and  $T=trans$ , the configuration of the C-C double bond; and  $S$  and  $\bar{S}$  depict the two types of skew conformations about the C-C single bond of the main chain. The two conformations of TPI are illustrated in Figure 3.

The spectra obtained by the CP/MAS/DD pulse sequence are plotted in Figure 4. Spectrum (a) is of the  $\alpha$  conformer. All the resonances observed by the MAS/DD pulse sequence are observed in the CP/MAS/DD spectra, though the relative intensities are different. The resonances of the two methylene and the quaternary carbons are not resolved. One set of the spinning sidebands of the olefinic crystalline material are observed at 56 and 42 ppm. The <sup>13</sup>C chemical shifts of the carbons in the crystalline phase obtained by the CP/MAS/DD and MAS/DD experiment are listed in Table 2. The

Table 1 Computation and crystallographic data for  $\alpha$  and  $\beta$  conformers of trans-1,4-polyisoprene

|                        |   |
|------------------------|---|
| trans-1,4-polyisoprene | $[\text{CH}_2-\underset{\gamma}{\text{C}}(\text{CH}_3)=\underset{\alpha}{\text{C}}\underset{\epsilon}{\text{H}}-\underset{\beta}{\text{CH}}-\underset{\delta}{\text{CH}_2}]_n$    |
| $\alpha$ form          | Monoclinic, $P2_1/c$ , $-C_{2h}^5$<br>$a=7.98 \text{ \AA}$ , $b=6.29 \text{ \AA}$ , $c=8.77 \text{ \AA}$ , $\beta=102.0^\circ$ ,<br>$N=4$<br>(2/0) trans $CTS$ -trans $CT\bar{S}$ |
| $\beta$ form           | Orthorhombic, $P2_12_12_1$<br>$a=7.78 \text{ \AA}$ , $b=11.78 \text{ \AA}$ , $c=4.72 \text{ \AA}$ , $N=4$<br>trans $ST\bar{S}$ , $\rho=1.05 \text{ g cm}^{-3}$                    |

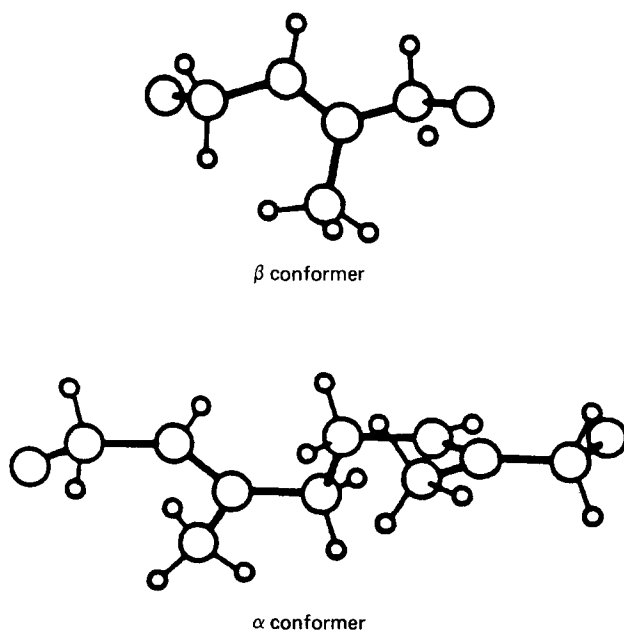


Figure 3 Conformational structures of the two forms of trans natural rubber

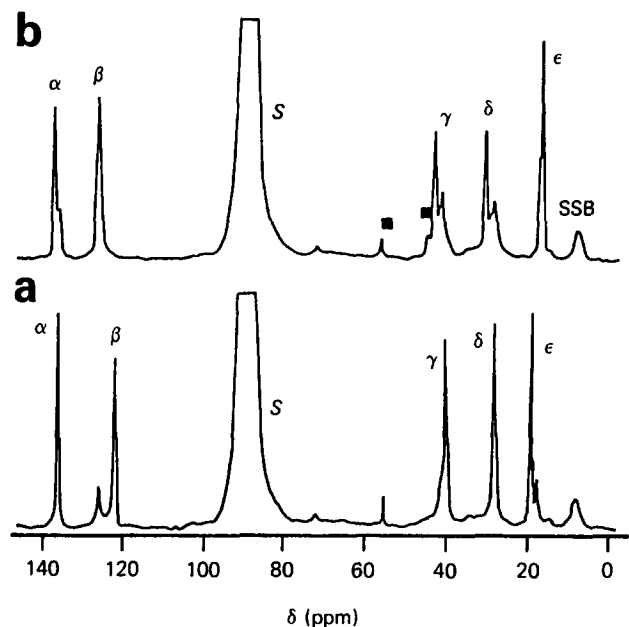


Figure 4 Spectra of trans natural rubber obtained by CP/MAS/DD: (a)  $\alpha$  conformer, (b)  $\beta$  conformer

Table 2 Chemical shifts (ppm) of crystalline resonances

|              | Cross-polarization |                    | Dipolar decoupling |                    |
|--------------|--------------------|--------------------|--------------------|--------------------|
|              | $\beta$ -trans NR  | $\alpha$ -trans NR | $\beta$ -trans NR  | $\alpha$ -trans NR |
| $C_\alpha$   | 137.0              | 136.0              | 137.1              | 136.2              |
| $C_\beta$    | 125.7              | 121.8              | —                  | 122.0              |
| $C_\gamma$   | 42.8               | 39.8               | 43.0               | 40.0               |
| $C_\delta$   | 30.2               | 27.7               | 30.4               | —                  |
| $C_\epsilon$ | 16.5               | 18.6               | 16.8               | 18.7               |

chemical shifts obtained by the two different experiments agree within 0.3 ppm.

Since some of the resonances of carbons in the amorphous and crystalline phases are not resolved, we used the  $T_1$  CP pulse sequence reported by Sullivan<sup>20</sup> to differentiate these carbons based on their different  $T_1$  values. Figure 5 is a plot of the spectra obtained with a  $\tau$  delay of 5 s. The negative peaks (indicated by dots) are due to carbons in the crystalline phase which have longer  $T_1$  relaxation times than those in the amorphous phase. It can be inferred that the crystalline phase of the  $\beta$  conformer has shorter  $T_1$  than the  $\alpha$  conformer. Because of the differences in the  $T_1$  relaxation times, we are able to resolve the isotropic chemical shifts of the carbons in the amorphous and crystalline phases. Table 3 lists the chemical shift differences of the crystalline and amorphous phases. The chemical shift differences of the  $\alpha$  conformer and the amorphous phase were found to range between 0.5 and 4.0 ppm. The observed solid-state chemical shift difference of the  $\beta$  conformer and its amorphous phase was between 0.6 and 2.3 ppm. The CP/MAS/DD spectrum of the  $\beta$  conformer shows the low-field component of the three doublets to be due to the carbons in the crystalline phase ( $C_\alpha$  137 ppm,  $C_\gamma$  43 ppm and  $C_\delta$  30.5 ppm). The other two resonances are not resolved into doublets. The difference in the crystalline chemical shifts of the two crystal forms are listed in Table 4. The crystalline chemical shift values of the  $\alpha$  phase are

found to occur at lower fields than the  $\beta$  phase for all carbons except for the methyl group, which occurs at higher field. The difference in the chemical shifts ranges from 1.0 ppm for the quaternary carbon to 4.0 ppm for the methine carbon. The difference in the direction of the methyl carbon may be due to steric perturbations as proposed by Grant and Cheney<sup>23</sup>.

#### Relaxation measurements

The observed  $^1\text{H}$   $T_{1\text{H}}$  values are listed in Table 5. Both the  $\alpha$  and  $\beta$  conformers gave two distinct values. The times observed for the  $\alpha$  conformer were 230 ms (crystalline) and 151 ms (amorphous). The observed  $T_{1\text{H}}$  for the  $\beta$  conformer were 190 ms (crystalline) and 130 ms

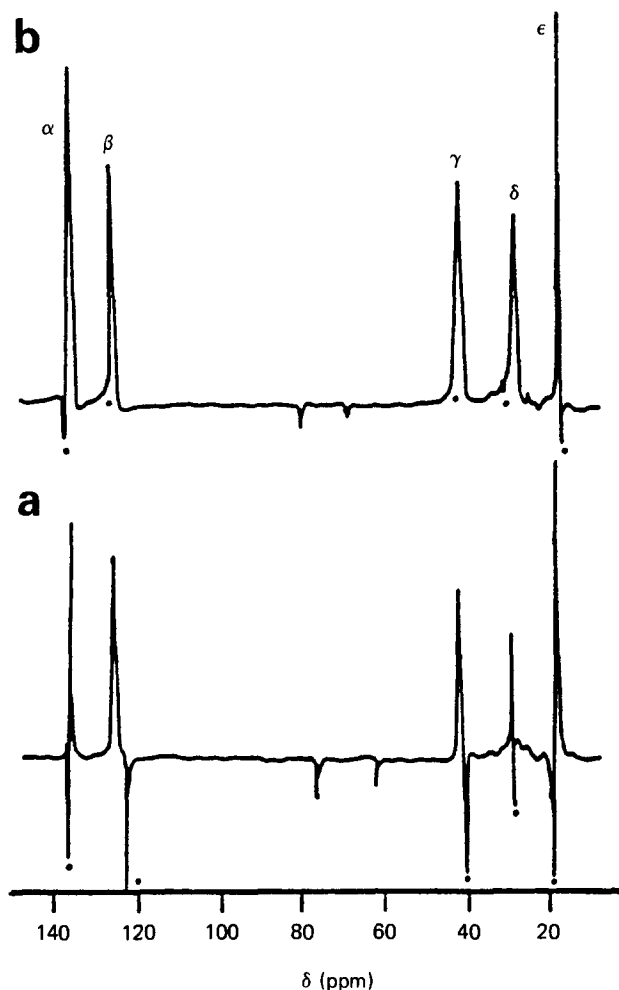


Figure 5 Comparison of the spectra obtained by the  $T_1$  inverse recovery pulse sequence: (a)  $\alpha$  conformer, (b)  $\beta$  conformer. The  $\tau$  delay is of 5 s

Table 3 Chemical shift differences of crystalline and amorphous resonances

|              | $\alpha$ phase, <sup>a</sup><br>$\Delta$ (ppm) | $\beta$ phase,<br>$\Delta$ (ppm) |
|--------------|--|----------------------------------|
| $C_\alpha$   | 0.6  | 1.5                              |
| $C_\beta$    | -3.8   | 0.6                              |
| $C_\gamma$   | -1.2   | 1.9                              |
| $C_\delta$   | -0.5   | 2.3                              |
| $C_\epsilon$ | 1.5  | -0.6                             |

<sup>a</sup>Negative sign indicates upfield direction or increased shielding

**Table 4** Difference in chemical shifts of the two crystalline phases

| Carbon types   | Δ(β-α) <sup>a</sup> (ppm)          |
|----------------|------------------------------------|
| C <sub>α</sub> | >CH= +1.0                          |
| C <sub>β</sub> | -CH= +3.9                          |
| C <sub>γ</sub> | -CH <sub>2</sub> - +3.0            |
| C <sub>δ</sub> | -CH <sub>2</sub> - +2.5            |
| C <sub>ε</sub> | -CH <sub>3</sub> -2.1 <sup>b</sup> |

<sup>a</sup>Negative sign indicates upfield direction or increased shielding

<sup>b</sup>The resonances of the amorphous phase were within ±0.2 ppm

**Table 5** Observed piston spin-lattice relaxation times T<sub>1H</sub> (ms) obtained through CP

|             | α conformer | β conformer |
|-------------|-------------|-------------|
| Crystalline | 230         | 190         |
| Amorphous   | 151         | 130         |

Uncertainty = ±10%

(amorphous). These results indicate that spin diffusion is the dominant relaxation process, with the more mobile amorphous phase being the diffusion sink.

The carbon T<sub>1C</sub> values are listed in Table 6. The T<sub>1C</sub> for the amorphous carbons are reported but they should be considered as highly suspect results because of the extreme difficulty of accurate measurement. The resonances of the α, β and δ carbons were still negative after a τ delay of 50 s, and therefore their values are estimates based on peak intensity. The T<sub>1C</sub> values for all the crystalline carbons were longer than for the amorphous carbon. Again the α phase T<sub>1C</sub> relaxation times are longer than those observed for the β phase. Because of peak overlap we used peak intensities and the null point method to calculate the T<sub>1C</sub> values.

The relative relaxation time differences between the carbons follow the trend observed by Schaefer<sup>24</sup> for cis-polyisoprene. The quaternary and methyl carbons had the longest relaxation times and the methylene carbon the shortest.

T<sub>1C</sub> relaxation times are listed in Table 7. The values reported are the average of both phases (crystalline and amorphous). The α phase was once again observed to have the longer T<sub>1C</sub> relaxation times except for CH<sub>3</sub>.

## DISCUSSION

### Chemical shifts

We employed the model of Grant and Cheney<sup>23</sup> to predict the effect of steric crowding on the chemical shift in the solid state. In the steric hindrance model, a CH bond is compressed or expanded by the mutual repulsion of a bonded and nearby non-bonded hydrogen. The shift depends on the angle θ between the CH bond and the interhydrogen separation vector and the CH bond and the hydrogen-hydrogen distance r. We used the empirical expression developed by Grant and later modified by Garroway<sup>25</sup>:

$$\delta_c = -1680 \cos \theta \exp(-26.71r) \quad (1)$$

Here r is in nanometres and δ<sub>c</sub> is the chemical shift difference on the δ scale. The net shift of the carbons in the

α and β conformations of TPI was calculated by summing the contributions of equation (1) for hydrogen-hydrogen separations out to 0.27 nm; the separations were calculated from the X-ray data of Bunn<sup>1</sup> (α conformation) and Takahashi<sup>2</sup> (β conformation). Bunn reported in his study that the methyl in the β conformer is tilted out of the C=C double-bond plane because of steric hindrance by the methylene group three bonds away. For this repulsion a value of -0.52 ppm was calculated. The observed difference between the amorphous and crystalline chemical shifts was -0.6 ppm. This is in very good agreement with the steric hindrance model. All other values calculated were less than the observed chemical shift differences. The α conformer has no reported steric hindrance and no calculated shift differences were of the right magnitude. It is interesting to note that the methyl (ε) and quaternary (α) carbons shift in the same direction. More studies are needed in order to understand the effect of the chain conformation of TPI on the observed chemical shift.

### Relaxation measurements

Longer relaxation times (T<sub>1C</sub>) were consistently measured for the α conformer. This would indicate that the α conformer has greater motional freedom than the β conformer<sup>24</sup> or that the β conformer has higher densities of motions that aid in its relaxation. This interpretation is based on a relaxation model in which the dipolar interactions are modulated by the segmental motions. The methine and methylene carbon relaxation times are expected to differ by a factor of 2. However, the difference may be due to a reduction in the effective dipolar coupling caused by segmental motion.

**Table 6** Observed <sup>13</sup>C spin-lattice relaxation times T<sub>1C</sub> (s) obtained through CP

| Carbon         | Phase | α phase          | β phase |
|----------------|-------|------------------|---------|
| C <sub>α</sub> | c     | >60              | 20      |
|                | a     | 5                | 0.06    |
| C <sub>β</sub> | c     | >60              | 5       |
|                | a     | 0.10             | 0.06    |
| C <sub>γ</sub> | c     | >60              | 6       |
|                | a     | 0.09             | 0.03    |
| C <sub>δ</sub> | c     | 2.5 <sup>a</sup> | 7       |
|                | a     |                  | 0.05    |
| C <sub>ε</sub> | c     | 17               | 11      |
|                | a     | 0.30             | 0.40    |

<sup>a</sup>Average of both phases

Uncertainty = ±15%

**Table 7** Observed carbon T<sub>1ρ</sub> (ms) for the α and β phases

|                | α phase | β phase |
|----------------|---------|---------|
| C <sub>α</sub> | 154.4   | 85.3    |
| C <sub>β</sub> | 23.5    | 21.3    |
| C <sub>γ</sub> | 27.3    | 16.9    |
| C <sub>δ</sub> | 29.0    | 15.8    |
| C <sub>ε</sub> | 54.2    | 80.0    |

Uncertainty = ±15%

Average of both phases

## SUMMARY

Different <sup>13</sup>C chemical shifts were observed for the  $\alpha$  and  $\beta$  conformers of *trans* NR. The direction of the chemical shifts of the methyl carbon in the  $\beta$  conformation relative to the  $\alpha$  conformation were predicted by the steric perturbation model. The  $\alpha$  conformer consistently gave longer relaxation times than the  $\beta$  conformer, indicating greater segmental motion.

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